

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	03-DV-7050
First Inventor	Robert D. Sirois
Title	PERIPHERAL INTERLOCKS FOR STATOR CORES
Express Mail Label No.	EL319732884US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Application claims small entity status.  
See 37 CFR 1.27
3.  Specification [ Total Pages 11 ]  
(Preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [ Total Sheets 7 ]
5. Oath or Declaration [ Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Statement (IDS)/PTO-1449  Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(If foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or  
its equivalent.
17.  Other: \_\_\_\_\_  Certificate of Express Mail; Declaration  
and Power of Attorney (submitted for  
inventor information purposes only)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Custom No. or Attach bar code label here)			<input checked="" type="checkbox"/> Correspondence address below	
Name						
Address	Armstrong Teasdale LLP					
	One Metropolitan Sq., Suite 2600					
City	St. Louis	State	Missouri	Zip Code	63102	
Country	US	Telephone	(314) 621-5070			
Fax	(314) 621-5065					

Name (Print/type)	Patrick W. Rasche	Registration No. (Attorney/Agent)	37,916
Signature		Date	December 22, 2000

Burden Hour Statement This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail # EL319732884US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b>				<b>Complete If Known</b>																																																																																																																																																																																																													
<p><i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997 Small entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</i></p>				<b>Application Number</b> <b>Filing Date</b> <b>First Named Inventor</b> Robert D Sirois <b>Group Art Unit</b> <b>Examiner Name</b>																																																																																																																																																																																																													
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$) 968.00		<b>Attorney Docket Number</b>		03-DV-7050																																																																																																																																																																																																											
<b>METHOD OF PAYMENT (check one)</b>				<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to  Deposit Account Number 01-2384  Deposit Account Name _____  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance				<b>3 ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>_____</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td>_____</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>_____</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td>_____</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>_____</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>_____</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>_____</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month</td><td>_____</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month</td><td>_____</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month</td><td>_____</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month</td><td>_____</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td>_____</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td>_____</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td>_____</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>_____</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>_____</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td>_____</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td>_____</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td>_____</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td>_____</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>_____</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>_____</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information</td><td>_____</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>_____</td></tr> <tr><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>_____</td></tr> <tr><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>_____</td></tr> <tr> <td colspan="4"></td> <td colspan="4">         Other fee (specify) _____          Other fee (specify) _____       </td> </tr> <tr> <td colspan="4"></td> <td colspan="4">         *Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$)       </td> </tr> <tr> <td colspan="8"><b>SUBMITTED BY</b></td> </tr> <tr> <td>Type or Printed Name</td> <td colspan="4">Patrick W. Rasche</td> <td>Reg. Number</td> <td colspan="2">37,916</td> </tr> <tr> <td>Signature</td> <td colspan="2"></td> <td>Date</td> <td colspan="2">December 22, 2000</td> <td>Deposit Account User ID</td> <td></td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	_____	127	50	227	25	Surcharge-late provisional filing fee or cover sheet	_____	139	130	139	130	Non-English specification	_____	147	2,520	147	2,520	For filing a request for reexamination	_____	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____	115	110	215	55	Extension for reply within first month	_____	116	380	216	190	Extension for reply within second month	_____	117	870	217	435	Extension for reply within third month	_____	118	1,360	218	680	Extension for reply within fourth month	_____	128	1,850	228	925	Extension for reply within fifth month	_____	119	300	219	150	Notice of Appeal	_____	120	300	220	150	Filing a brief in support of an appeal	_____	121	260	221	130	Request for oral hearing	_____	138	1,510	138	1,510	Petition to institute a public use proceeding	_____	140	110	240	55	Petition to revive - unavoidable	_____	141	1,210	241	605	Petition to revive - unintentional	_____	142	1,210	242	605	Utility issue fee (or reissue)	_____	143	430	243	215	Design issue fee	_____	144	580	244	290	Plant issue fee	_____	122	130	122	130	Petitions to the Commissioner	_____	123	50	123	50	Petitions related to provisional applications	_____	126	240	126	240	Submission of Information	_____	581	40	581	40	Recording each patent assignment per property (times number of properties)	_____	146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	_____	149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	_____					Other fee (specify) _____ Other fee (specify) _____								*Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$)				<b>SUBMITTED BY</b>								Type or Printed Name	Patrick W. Rasche				Reg. Number	37,916		Signature			Date	December 22, 2000		Deposit Account User ID	
Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																												
105	130	205	65	Surcharge - late filing fee or oath	_____																																																																																																																																																																																																												
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	_____																																																																																																																																																																																																												
139	130	139	130	Non-English specification	_____																																																																																																																																																																																																												
147	2,520	147	2,520	For filing a request for reexamination	_____																																																																																																																																																																																																												
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____																																																																																																																																																																																																												
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____																																																																																																																																																																																																												
115	110	215	55	Extension for reply within first month	_____																																																																																																																																																																																																												
116	380	216	190	Extension for reply within second month	_____																																																																																																																																																																																																												
117	870	217	435	Extension for reply within third month	_____																																																																																																																																																																																																												
118	1,360	218	680	Extension for reply within fourth month	_____																																																																																																																																																																																																												
128	1,850	228	925	Extension for reply within fifth month	_____																																																																																																																																																																																																												
119	300	219	150	Notice of Appeal	_____																																																																																																																																																																																																												
120	300	220	150	Filing a brief in support of an appeal	_____																																																																																																																																																																																																												
121	260	221	130	Request for oral hearing	_____																																																																																																																																																																																																												
138	1,510	138	1,510	Petition to institute a public use proceeding	_____																																																																																																																																																																																																												
140	110	240	55	Petition to revive - unavoidable	_____																																																																																																																																																																																																												
141	1,210	241	605	Petition to revive - unintentional	_____																																																																																																																																																																																																												
142	1,210	242	605	Utility issue fee (or reissue)	_____																																																																																																																																																																																																												
143	430	243	215	Design issue fee	_____																																																																																																																																																																																																												
144	580	244	290	Plant issue fee	_____																																																																																																																																																																																																												
122	130	122	130	Petitions to the Commissioner	_____																																																																																																																																																																																																												
123	50	123	50	Petitions related to provisional applications	_____																																																																																																																																																																																																												
126	240	126	240	Submission of Information	_____																																																																																																																																																																																																												
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____																																																																																																																																																																																																												
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	_____																																																																																																																																																																																																												
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	_____																																																																																																																																																																																																												
				Other fee (specify) _____ Other fee (specify) _____																																																																																																																																																																																																													
				*Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$)																																																																																																																																																																																																													
<b>SUBMITTED BY</b>																																																																																																																																																																																																																	
Type or Printed Name	Patrick W. Rasche				Reg. Number	37,916																																																																																																																																																																																																											
Signature			Date	December 22, 2000		Deposit Account User ID																																																																																																																																																																																																											

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.